

IL  
Part C  
FFY2015  
State Performance  
Plan/  
Annual Performance  
Report

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Introduction to the State Performance Plan  
(SPP)/Annual Performance Report (APR)

Executive Summary:

Attachments

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General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

To ensure compliance with the requirements set forth in the Individuals with Disabilities Education Act (IDEA) Part C, the State of Illinois enacted the Early Intervention Services System Act (Act) (325 ILCS20 et. seq.) which establishes a statewide system of coordinated, comprehensive, interagency and interdisciplinary programs to be used in planning, implementation, coordination and evaluation of the statewide system of locally based early intervention services. As authorized and required by the Act, the Illinois Department of Human Services (Department) promulgated detailed rules and regulations to reflect the intent of federal regulations contained in IDEA Part C. They are set forth in the Illinois Administrative Code (89 IL Admin. Code 500 et. seq.)

The Department, in accordance with the Act, serves as Lead Agency with the Bureau of Early Intervention (Bureau) providing staff for the general administration and supervision of programs and activities for the Early Intervention (EI) Program. The Department, through its EI Bureau, sets and disseminates policies and procedures for the provision of EI services through administrative rule, the Child and Family Connections (CFC) Procedure Manual, the EI Provider Handbook, administrative contracts and payee agreements, and the State of Illinois Infant/Toddler & Family Rights under IDEA booklet.

Cornerstone is the statewide data system that collects and stores child and family information, generates authorizations for services, and provides interface with other systems to track and produce payments. The Bureau relies extensively on this data system to monitor performance of CFC offices through monthly review of performance measures that are, in turn, shared with the CFC offices. Annually, based on the review of these performance measures, the Bureau issues a letter of Findings of Noncompliance and Determinations to each CFC. Accordingly, each CFC office is required to address findings of noncompliance by developing and documenting a corrective action plan (CAP) to be implemented within one year. Additionally, each CFC office has a Determination Scorecard based on their performance. Each CFC is evaluated based on the scorecard for purposes of monitoring, technical assistance, training and CAP reporting.

The Illinois EI Monitoring Program (<http://www.earlyinterventionmonitoring.org/>) conducts monitoring activities of the CFC offices and EI direct service providers. CFC offices and credentialed and/or enrolled service providers are monitored through on and off-site file reviews. In a given year, all 25 CFC offices and approximately 65 percent of the approximately 4,500 EI direct service providers that billed for services during the preceding fiscal year are subject to the compliance monitoring process.

Each CFC office receives a focused verification monitoring review at a minimum of once every three years or upon the request of the Bureau. The focused verification monitoring review includes 1) an in-depth file review; 2) CFC Program Manager and CFC Service Coordinator interviews; and 3) Family, Service Provider, and Stakeholder surveys. Through file reviews (on and off-site), the EI Monitoring Program assesses compliance with determinations relating to administrative processes and procedures through annual compliance monitoring visits. The number of files to be reviewed is determined by the size of the CFC office's caseload for a one-month time period and the number of children transitioning from the EI program during the designated time period. In the course of this review, the EI Monitoring Program identifies any areas of noncompliance, documents the correction of noncompliance, and provides technical assistance when required. The provision of technical assistance is an integral part of this monitoring process, and issues are addressed immediately upon the completion of an onsite monitoring visit.

Annually, 65 percent of the EI service providers receive a compliance monitoring review. EI providers who were previously monitored could be scheduled for subsequent compliance monitoring based upon the outcome of the previous review. The EI Monitoring Program randomly selects 10% of the EI service provider caseload for file reviews for payees with less than 700 cases. EI Providers with caseloads of more than 700 cases are monitored annually using a random selection of 5% of their caseloads, with a minimum of 70 files reviewed.

The EI Monitoring Program also safeguards the rights of families to receive appropriate services and supports by investigating parent billing complaints submitted to the Bureau or to the EI Central Billing Office, who is our contracted billing agent, regarding the appropriate billing of services.

The Department contracts with the EI Central Billing Office, CBO, (<http://www.eicbo.info/>) to process paper and electronic service provider claims and to create provider claim summaries as part of the fee-for-service delivery system; generate vouchers; submit Medicaid claims to the Illinois Department of Healthcare and Family Services (HFS); and, prepare and forward claims for federal matching funds. In addition, the CBO maintains insurance coverage information, including waivers and exemptions, provides insurance billing services, and invoices, collects and updates the family participation fee accounts. They also provide the family with an Explanation of Benefits (EOB), which accounts for all provider claims to families on a monthly basis. The CBO maintains the linkage between EI data systems and Cornerstone for direct service authorizations.

The Department has implemented a statewide system of dispute resolution, including procedures for timely administrative resolution of complaints through mediation, State complaint procedures, and Due Process hearing procedures. The State has chosen to adopt mediation and Due Process procedures in 34 CFR §300.506 through §300.512, and develop procedures that meet the requirements of §303.425. The EI Program has an inter-agency agreement with the Illinois Department of Healthcare & Family Services, the state's Medicaid lead agency, to have contracts in place with individuals who serve as Impartial Hearing Officers. A number of Legal staff within the Department are available to facilitate the development and negotiation of all resolution session agreements. An electronic database is in place to track Due Process complaints.

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Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

Illinois' system for technical assistance ensures efforts are leveraged among the Bureau and its contract entities. These important partners support the timely delivery of high quality and evidence-based technical assistance. Illinois benefits from its contractual relationship with state and national recognized leaders in early childhood development and education that contribute a wealth of knowledge and expertise. The provision of technical assistance is a key function described in the contracts with the local CFC offices, the EI Training Program, the EI Monitoring Program, the EI Clearinghouse, the EI Central Billing Office, and the Provider Connections. The Bureau's website and its contractual partners' websites ensure the availability of Illinois-specific and national information and resources to EI providers, the CFCs, stakeholders and families.

Each CFC office provides technical assistance to its provider community through responses to phone calls and emails, providers meetings, and activities of its Local Interagency Council(s). In addition, each CFC office contracts with a developmental pediatric consultant for specific services to implement quality assurance activities such as periodic participation in IFSP meetings, consultation on requests for IFSP service changes, technical assistance and training to EI providers and services coordinators to address local system needs, specific disabilities, specific family situations, and outreach to primary referral sources. The CFC also houses a social

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emotional consultant for the purposes of reflective consultation to the CFC Program Manager, individuals or groups consultation as well as coordination of components including overseeing the implementation of social emotional screening and specialized assessment.

Functions of the EI Monitoring Program and the EI Central Billing Office are described in General Supervision System section, and functions of the EI Training Program, the EI Clearinghouse, and Provider Connections are described in the Professional Development section. All are very important sources of technical assistance for the Illinois EI system. In addition, the EI Training Program's contract includes an ombudsman position. The ombudsman works with the Bureau, CFC offices and EI providers to ensure fidelity with EI laws, rule, policies, practice and procedures, and promotes the highest attainable evidence based practices that support the key principles of Illinois' Early Intervention Program.

Bureau staff meets monthly with CFC managers to provide clarification to policies and procedures and address questions and concerns. EI contractual entities and Bureau staff also meet on a monthly basis to leverage resources, coordinate efforts, and identify additional strategies to meet system needs for technical assistance. Bureau staff provides responses to all inquiries from CFC offices and EI Providers usually via the telephone or email. Informational memos are posted on all available EI websites (DHS and contractual partners) and distributed via email to CFC managers.

The Bureau has received technical assistance from a number of national and regional resources, including the IDEA Data Center (IDC), the Early Childhood Technical Assistance Center (ECTA), the Center for IDEA Early Childhood data Systems (DaSy), the National Center for Systemic Improvement (NCSI), and the Office of Special Education Programs. The Bureau is a member of several cross-state learning collaboratives and other learning communities as well as intensive technical assistance partners for specific assistance in development and implementation of SSIP and SIMR actionable items.

The Bureau has worked diligently with the OSEP State Lead in resolving long standing noncompliance. The attached Response Table outlines the historical correction with a narrative of the practice Illinois has chosen to address noncompliance and ensure quality services are delivered in accordance with Part C regulations to all eligible Illinois children and families.

### Attachments

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### Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The Early Intervention Services System Act (Act) (325 ILCS20 et. seq.) and the Illinois Administrative Code (89 IL Admin. Code 500 et. seq.) require and define a system of personnel development and personnel standards to ensure that EI providers are effectively providing services that improve results for infants and toddlers with disabilities and their families. EI families, service providers, and primary referral sources have access to a wealth of information provided through various EI specific websites as well as online and face-to-face training opportunities.

Illinois contracts with the EI Provider Connections office (<http://www.wiu.edu/ProviderConnections/>) to coordinate the credentialing process and the enrollment of service providers in compliance with state and federal requirements. EI Provider Connections processes credential and enrollment applications and maintains a system of credentialing which ensures new providers are qualified and prepared with appropriate pediatric experience and education to provide services to infants and toddlers with developmental delays or disabilities and their families. This office ensures that individuals providing EI services have documentation on file of all applicable licenses, degrees, education and/or certification, EI systems overview training, background checks, documentation of consultation, and all other requirements. In addition, they provide technical assistance on the credential and enrollment process and verify that the individuals maintain competencies through documentation of continuing professional education and ongoing professional development at credential renewal which is required every 3 years.

Illinois also contracts with the University of Illinois Early Intervention Training Program (EITP) (<http://eitp.education.illinois.edu/>) to provide a comprehensive system of personnel development, using a variety of methodology that includes face-to-face and online training modules, and to coordinate with other entities to offer a broad calendar of EI training events. These efforts ensure that service providers and CFC staff enter the EI system with an understanding of the basic components of the EI services system, evidence based practices and Illinois' EI key principles. Additional and ongoing efforts are in place to focus on continuously expanding competencies and to provide information on evidence-based practices, specifically in four core knowledge areas of development of young children, working with families of young children with developmental disabilities and delays, innovative strategies and activities for young children with special needs, and assessment of young children with special needs. The EITP also works in coordinating with other professional entities to provide training on transition services for children exiting the EI system. The EITP develops, publishes and distributes a quarterly newsletter for EI service providers. The EITP coordinates the family outcome process which includes a project coordinator that compiles and mails surveys to all families who have exited the EI program and upon request, assist families in completing the survey (including those who are Spanish speaking). The coordinator also facilitates the Child and Family Outcomes Workgroup and represents the EI program on all child and family outcomes activities.

The EI Clearinghouse (<http://www.eiclearinghouse.org/>) collects research-based and best-practice early intervention information to share with families to support their children's growth and development. They provide current, up-to-date information and reference materials for parents to learn more about typical child development and specific disabilities content, and for educators and EI professionals to improve competencies in the evaluation and treatment of children with developmental disabilities and delays. Additional resources in English and in Spanish are available to EI families, providers, stakeholders and primary referral sources. They are tasked with maintaining Illinois' EI Central Directory, operate and maintain a free EI lending library, generate parent newsletters and information notes, podcasts, resources links to support evidence-based practices, and general contact and program information. The EI Clearinghouse serves as a communication link between the EI Program and the families served by the program through a family friendly, bilingual (English-Spanish) web-site.

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**Stakeholder Involvement:** ☒ apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

#### Illinois Interagency Council on Early Intervention (IICEI):

The IICEI is a Governor-appointed advisory board that meets the federal requirements for a State Interagency Coordinating Council. The membership of the council includes parents, public and private service providers of the Early Intervention (EI) system, a member from the State legislature, a personnel preparation representative, and representatives from various designated State agencies and programs. Its membership also includes representatives from advocate organizations, Child and Family Connections (CFC) managers, and a designee from the Illinois Early Learning Council. The IICEI discusses program and Bureau specific challenges and opportunities, reviews and approves the annual progress report (APR), helps determine the setting of APR target values, and advises the Bureau in the overall performance of the program. The IICEI also, as needed, creates ad-hoc workgroups composed of both council and other subject-matter experts on a variety of subjects to help develop any potential recommendations for consideration by the Bureau.

#### Child and Family Outcomes Workgroup:

The Child and Family Outcomes workgroup is a stakeholder group tasked with the goal of reviewing processes that improve outcomes for children and families, as well as the quality of child and family outcomes data. The workgroup includes representation from the EI Bureau staff, EI providers, CFC managers, EI Ombudsman and the EI Training Program (EITP). The Child and Family Outcomes Workgroup target its efforts to:

- Ensure that valid and reliable data are collected with consistency by field staff;
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- Improve the validity of data reported on child and family outcomes;
- Improve response rates for Family Outcomes surveys, to increase representativeness and validity;
- Promote public awareness and training of child and family outcome measures;
- Explore options for linking child and family outcome data;
- Support data review and analysis;
- Set baseline and target values; and
- Develop and implement improvement activities.

### CFC Managers:

Illinois has 25 CFC offices that serve as the regional intake entities, and each CFC office is responsible for the implementation of the Early Intervention Services System within its specific geographic region. CFCs are responsible for ensuring all referrals to the Early Intervention Services System receive a timely response in a professional and family-centered manner. Other responsibilities of the CFCs include: child find activities; family-engaged intake; coordination of evaluation/assessment and eligibility determination activities for children; for eligible children-oversight of the development of individualized family service plans (IFSP); ongoing service coordination, and transitioning activities before a toddler exits the program or reaches three years of age. CFC managers meet monthly with EI Bureau staff to review policy and procedures, provide statewide and local perspectives, offer feedback to the APR and SSIP, identify system challenges, and suggest input of improvement strategies

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### Reporting to the Public:

How and where the State reported to the public on the FFY 2013 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2013 APR, as required by 34 CFR §300.602(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2013 APR in 2015, is available.

The Illinois Annual Performance Report (APR) and the State Systemic Improvement Plan (SSIP) (Phases I and II) reports are used to annually report to the public on the EI performance targets. The Illinois Interagency Council for Early Intervention (IICEI) is the principle stakeholder group that reviews and provides final approval to the APR. The APR is posted on the Department's website no later than 120 days following the State's submission. A hard copy of the APR is also available for public review at each of the 25 CFC offices. Most APR indicators include a table that compares the performance of each CFC office with statewide performance and target values.

The SSIP reports (Phases I and II) are also posted on the Department's and contractual partners' websites. Progress on SSIP is communicated through a SSIP Quarterly Summary which is widely distributed to stakeholders on a quarterly basis.

### Document Posting:

The Illinois APR, SSIP, 618 data, Annual Federal Grant Applications, and Monthly Statistical reports are available online at <http://www.dhs.state.il.us/page.aspx?item=36192>. The EI Training Program website has links to the EI Reports and informational notices located at <https://uofi.illinois.edu/blog/view/6039/114615?count=1&ACTION=DIALOG&sort=asc>.

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### Actions required in FFY 2014 response

**Indicator 1: Timely provision of services****Historical Data and Targets***Monitoring Priority: Early Intervention Services In Natural Environments***Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.****(20 U.S.C. 1416(a)(3)(A) and 1442)****Historical Data***Baseline Data: 2005*

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		98.53%	96.50%	95.31%	94.33%	93.96%	94.06%	95.76%	96.75%	97.68%	97.92%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 1: Timely provision of services  
FFY 2015 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
19782	20668	97.92%	100%	96.87%	Did Not Meet Target	Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Explanation of Slippage

Illinois Early Intervention strives to provide services in a timely manner to all eligible children. Beginning FFY15/SFY16, Illinois experienced unique circumstances never experienced before. Illinois had no approved, balanced budget passed as of the beginning of the new state fiscal year beginning July 1, 2015 which caused some EI contracted Child & Family Connections (CFC) offices as well as EI direct service providers to temporarily refrain from moving forward with services while answers could be sought to help these stakeholders feel more confident in reimbursement for services provided to EI children. The CFC offices as well as many EI direct service providers quickly came back into compliance but the ability to support the delivery of services was impacted. It is of special interest to understand the relationship between the State and the EI direct service providers. Illinois established a system of credentialing and enrolling direct service providers to ensure high-quality services were provided by professionals meeting the required level of expertise in the various disciplines and in early childhood development. Illinois is a fee-for-service model of delivery with Payee Agreements established between the State and the professional(s). Direct service providers may choose to sign a Payee Agreement directly with the Stand and work independently or work under a Payee who is required to submit the Payee Agreement to the State ensuring all personnel under their supervision perform EI services as defined in the Payee Agreement. Illinois has approximately 4,500 individual direct service providers enrolled under approximately 2,000 Payee Agreements. This equates to over half of the EI provider population being independent service providers. The impact of not having an Illinois, balanced budget has indeed been felt by the EI program and it's direct service providers and contractors as well as many other State programs serving citizens of Illinois. Despite the uncertainty felt by the EI community in the last 19 months, every effort and intention is made to continue providing high-quality and timely services to Illinois eligible children and families.

Overall, Illinois experienced a decline of just under 500 children per month with active IFSP from FFY14 to FFY15. We theorize the uncertainty of state-run programs caused multiple EI community members (direct service provides, families) to refrain from participation. As time has passed, even without a balanced budget signed yet, we have been able to sustain the programs and participation has begun increasing again. We are already above the average of FFY15 by over 200 cases in FFY16 as of the active IFSP count the end of November 2016.

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.</i>	239
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Service Delay Reporting System data from October 1, 2015 through October 31, 2015 was utilized to calculate the current percentage of service delays.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Illinois has set an definition of delay in service(s) if more than 30 days has passed between the IFSP consent for services indicating the need for service(s) and the date a provider begins service(s) with the child and family.

The attached chart shows the statewide performance as well each of the 25 individual CFC offices. The data is also grouped into regional structures to show some commonality between geographic regions reflecting large urban, surrounding suburban and more rural areas grouped together. FFY15/SFY16 data showed a decline of 1.05% from FFY14/SFY15 data. The decrease from 97.92% in FFY14 to 96.87% in FFY15 reflects the actions transpiring during FFY15/SFY16 due to the uncertainty of payments with no balanced budget passed. Illinois dropped to having 2 CFCs having no delays in service delivery and dropped slightly from 20 to 19 CFCs having 95% - 100% of eligible children receiving services timely. This year we increased from 2 to 6 CFCs who had between 90% to 95% of eligible children receiving services and only 1 CFC under 90% at 85.92%.

Regional separation also showed overall declines with Cook County dropping from 98.31% to 98.18%, Collar Counties dropping a bit more then Cook from 97.96% to 96.00% and Downstate offices dropping from 97.18% to 95.50%. The Downstate offices were most affected by the budget impasse with 3 of the CFCs temporarily closing their doors for a matter of days to a matter of weeks before reopening in the beginning of the FFY. We believe the steady increase in average active IFSP caseload again will continue as time passes and our SSIP efforts expand and improve the external messaging to all community partners.

For future APR reporting on timely service delivery, in FFY16 Illinois had one CFC spearhead outreach for additional technical assistance to support underserved communities perceived as un-safe areas. The project is evolving into multiple CFCs as well as other community partners all with vested interests in securing methods of delivering the eligible services to these neighborhoods. Strategies are being developed and implementation is planned soon. We look forward to analyzing the data once implementation has begun!

Provide additional information about this indicator (optional)

## Indicator 1: Timely provision of services

### Required Actions from FFY 2014

*Monitoring Priority: Early Intervention Services In Natural Environments*

**Compliance indicator:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response, **not including correction of findings**

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 1: Timely provision of services  
Correction of Previous Findings of Noncompliance

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

Correction of Findings of Noncompliance Identified Prior to FFY 2014

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2014 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			



**Indicator 1: Timely provision of services****Historical Data and Targets***Monitoring Priority: Early Intervention Services In Natural Environments***Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.****(20 U.S.C. 1416(a)(3)(A) and 1442)****Historical Data***Baseline Data: 2005*

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		98.53%	96.50%	95.31%	94.33%	93.96%	94.06%	95.76%	96.75%	97.68%	97.92%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

## FFY15/SFY16 Illinois Annual Performance Report

### Indicator 1: Timely Provision of Services

**Compliance Indicator:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

CFC #	Active IFSPs	Family Exceptional Circumstances	Net IFSPs (less Family Exceptional Circumstances)	No Delays	% of No Delays
1	689	6	683	590	85.63%
<b>**2</b>	<b>804</b>	<b>16</b>	<b>788</b>	<b>768</b>	<b>95.52%</b>
3	344	0	344	331	96.22%
<b>**4</b>	<b>999</b>	<b>14</b>	<b>985</b>	<b>990</b>	<b>99.10%</b>
<b>**5</b>	<b>1,274</b>	<b>6</b>	<b>1,268</b>	<b>1,266</b>	<b>99.37%</b>
<b>*6</b>	<b>2,022</b>	<b>37</b>	<b>1,985</b>	<b>2,015</b>	<b>99.65%</b>
<b>*7</b>	<b>1,259</b>	<b>1</b>	<b>1,258</b>	<b>1,227</b>	<b>97.46%</b>
<b>*8</b>	<b>973</b>	<b>38</b>	<b>935</b>	<b>921</b>	<b>94.66%</b>
<b>*9</b>	<b>1,144</b>	<b>3</b>	<b>1,141</b>	<b>1,101</b>	<b>96.24%</b>
<b>*10</b>	<b>940</b>	<b>8</b>	<b>932</b>	<b>923</b>	<b>98.19%</b>
<b>*11</b>	<b>2,529</b>	<b>48</b>	<b>2,481</b>	<b>2,518</b>	<b>99.57%</b>
<b>*12</b>	<b>1,222</b>	<b>8</b>	<b>1,214</b>	<b>1,200</b>	<b>98.20%</b>
13	246	0	246	230	93.50%
14	637	20	617	616	96.70%
<b>**15</b>	<b>1,512</b>	<b>16</b>	<b>1,496</b>	<b>1,406</b>	<b>92.99%</b>
16	686	9	677	620	90.38%
17	237	0	237	237	100.00%
18	349	0	349	347	99.43%
19	499	1	498	485	97.19%
20	401	5	396	398	99.25%
21	701	0	701	701	100.00%
22	395	1	394	387	97.97%
23	143	1	142	137	95.80%
24	179	0	179	169	94.41%
<b>**25</b>	<b>484</b>	<b>1</b>	<b>483</b>	<b>438</b>	<b>90.50%</b>
<b>Statewide</b>	<b>20,668</b>	<b>239</b>	<b>20,429</b>	<b>20,021</b>	<b>96.87%</b>
<b>*Cook County</b>	<b>10,089</b>	<b>143</b>	<b>9,946</b>	<b>9,762</b>	<b>98.18%</b>
<b>**Collar Counties (2, 4, 5, 15, &amp; 25)</b>	<b>5,073</b>	<b>53</b>	<b>5,020</b>	<b>4,817</b>	<b>96.00%</b>
Downstate (All Others)	5,506	43	5,463	5,215	95.50%
<b>*Cook County Offices:</b> <ul style="list-style-type: none"> <li>CFC 6 - North Suburban</li> <li>CFC 7 - West Suburban</li> <li>CFC 8 - Southwest Chicago</li> <li>CFC 9 - Central Chicago</li> <li>CFC 10 - Southeast Chicago</li> <li>CFC 11 - North Chicago</li> <li>CFC 12 - South Suburban</li> </ul>					

## Indicator 2: Services in Natural Environments

### Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			87.00%	88.00%	89.00%	89.50%	90.00%	90.00%	90.00%	90.00%	90.00%
Data		88.80%	89.26%	89.90%	92.20%	92.90%	94.60%	95.47%	96.13%	88.13%	88.13%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

#### FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥	90.00%	90.00%	90.00%	90.00%

Key:  Blue – Data Update

#### Targets: Description of Stakeholder Input

Stakeholder input was provided and approval received from the Illinois Interagency Council on Early Intervention, the state Interagency Coordinating Council.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 2: Services in Natural Environments  
FFY 2015 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	<a href="#">Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings</a>	12,937	15095
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	<a href="#">Total number of infants and toddlers with IFSPs</a>	15,292	

Explanation of Alternate Data

Illinois utilizes reporting of claimed services for the period of October 1, 2015 through October 31, 2015 along with the data showing Child Count Data of active cases on a particular date, October 31, 2015. The difference is the entire month of service delivery is considered and not just one single date in time. Extrapolating the percentage of children receiving services predominately in natural environments based on the claiming report, the percentage was then applied to the 618 Child Count Data to indicate the fact that Illinois is meeting the target of service delivery in natural environments for the preponderance of services.

FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
15,095	15,292	88.13%	90.00%	98.71%	Met Target	No Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

☒ Provide additional information about this indicator (optional)

Illinois has historically taken the stand that a child with no direct service authorizations, for whatever reason, was considered as not receiving services in the natural environment. After reaching out for technical assistance with federal technical assistance partners and State Leads, it was discussed and Illinois has decided to update the process to include children with Service Coordination only and those with Service Coordination as well as Evaluation/Assessments as our policy supports monthly contact with every family, even those only receiving Service Coordination which is not an authorized service in Illinois but rather an automatically provided service. In FFY15/SFY16, Illinois had 1,216 families receiving Service Coordination and/or Evaluation/Assessments as their only service.

## Indicator 2: Services in Natural Environments

### Required Actions from FFY 2014

*Monitoring Priority: Early Intervention Services In Natural Environments*

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response

## FFY15/SFY16 Annual Performance Report

## Indicator 2: Services in Natural Environments

**Results Indicator:** Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

CASES IN PREDOMINATELY IN NATURAL SETTING BY CFC AND GEOGRAPHIC REGIONS						
	October 2014			October 2015		
CFC #	Home	Day Care/ Comm.	Natural Settings	Home	Day Care/ Comm.	Natural Settings
1	60.99%	9.22%	70.21%	83.99%	9.04%	93.03%
2**	82.69%	5.06%	87.75%	96.26%	3.74%	100.00%
3	64.52%	11.44%	75.95%	74.07%	14.14%	88.22%
4**	80.92%	3.37%	84.29%	97.27%	2.73%	100.00%
5**	85.10%	6.91%	92.01%	95.66%	4.17%	99.82%
6*	77.45%	10.38%	87.82%	90.75%	9.25%	100.00%
7*	86.20%	3.84%	90.04%	94.77%	3.75%	98.52%
8*	86.00%	2.70%	88.69%	96.84%	2.67%	99.51%
9*	86.11%	7.03%	93.14%	92.88%	5.87%	98.75%
10*	89.48%	0.43%	89.91%	96.40%	0.12%	96.53%
11*	87.54%	3.25%	90.78%	97.06%	2.56%	99.62%
12*	87.41%	1.06%	88.46%	99.44%	0.56%	100.00%
13	84.12%	5.07%	89.19%	91.82%	5.91%	97.73%
14	52.23%	24.74%	76.98%	78.44%	19.92%	98.36%
15**	77.58%	9.51%	87.09%	88.42%	9.97%	98.39%
16	48.03%	31.13%	79.15%	64.72%	31.81%	96.53%
17	88.73%	8.82%	97.55%	88.55%	8.37%	96.92%
18	38.27%	53.63%	91.90%	38.10%	56.19%	94.29%
19	72.50%	14.42%	86.92%	80.76%	18.34%	99.11%
20	94.87%	0.47%	95.34%	98.68%	1.32%	100.00%
21	93.65%	0.14%	93.80%	99.19%	0.81%	100.00%
22	94.87%	3.59%	98.46%	96.63%	3.37%	100.00%
23	83.16%	0.00%	83.16%	100.00%	0.00%	100.00%
24	83.70%	12.50%	96.20%	93.98%	6.02%	100.00%
25**	77.60%	7.20%	84.80%	91.44%	7.82%	99.27%
Statewide	80.39%	7.74%	88.13%	91.46%	7.25%	98.71%
*Cook County	85.25%	4.59%	89.83%	95.24%	3.96%	99.2%
**Collar Counties (2, 4, 5, 15, & 25)	80.99%	6.70%	87.69%	93.41%	5.98%	99.4%
Downstate (All Others)	70.99%	14.44%	85.43%	82.77%	14.43%	97.2%

**\*Cook County Offices:**

- CFC 6 - North Suburban
- CFC 7 - West Suburban
- CFC 8 - Southwest Chicago
- CFC 9 - Central Chicago
- CFC 10 - Southeast Chicago
- CFC 11 - North Chicago
- CFC 12 - South Suburban

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**  
**Indicator 3: Early Childhood Outcomes**  
**Historical Data and Targets**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? **No**

**Historical Data**

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
<b>A1</b>	2009	Target ≥						64.50%	65.60%	66.00%	66.50%	66.90%	67.30%
		Data					64.10%	65.60%	66.40%	68.22%	70.40%	70.55%	67.09%
<b>A2</b>	2009	Target ≥						64.50%	63.30%	63.30%	63.50%	63.50%	63.70%
		Data					64.20%	63.30%	63.10%	62.42%	64.40%	64.58%	60.92%
<b>B1</b>	2009	Target ≥						78.50%	77.00%	77.50%	78.00%	78.40%	78.80%
		Data					78.00%	77.00%	78.20%	78.47%	79.70%	80.17%	77.45%
<b>B2</b>	2009	Target ≥						52.50%	48.00%	49.00%	49.80%	50.00%	50.20%
		Data					52.40%	49.60%	50.30%	49.44%	51.60%	52.90%	48.05%
<b>C1</b>	2009	Target ≥						75.50%	74.50%	75.00%	75.70%	76.10%	76.50%
		Data					75.30%	75.50%	76.40%	76.57%	77.90%	77.60%	75.15%
<b>C2</b>	2009	Target ≥						57.00%	55.00%	55.50%	56.20%	56.40%	56.60%
		Data					56.80%	56.00%	56.80%	56.03%	57.90%	58.27%	53.98%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target A1 ≥	67.70%	68.10%	68.50%	68.90%
Target A2 ≥	63.90%	64.10%	64.30%	64.50%
Target B1 ≥	79.20%	79.60%	80.00%	80.40%
Target B2 ≥	50.40%	50.60%	50.80%	51.00%
Target C1 ≥	76.90%	77.30%	77.70%	78.10%
Target C2 ≥	56.80%	57.00%	57.20%	57.40%

Key: Blue – Data Update

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 3: Early Childhood Outcomes  
FFY 2015 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	10370.00
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Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	36.00	0.35%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	2110.00	20.35%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	1813.00	17.48%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	3157.00	30.44%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	3254.00	31.38%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	4970.00	7116.00	67.09%	67.70%	69.84%	Met Target	No Slippage
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	6411.00	10370.00	60.92%	63.90%	61.82%	Did Not Meet Target	No Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	20.00	0.19%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1972.00	19.02%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	3110.00	29.99%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	4527.00	43.65%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	741.00	7.15%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	7637.00	9629.00	77.45%	79.20%	79.31%	Met Target	No Slippage
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	5268.00	10370.00	48.05%	50.40%	50.80%	Met Target	No Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	22.00	0.21%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1985.00	19.14%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	2522.00	24.32%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	4249.00	40.97%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	1592.00	15.35%



# FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	6771.00	8778.00	75.15%	76.90%	77.14%	Met Target	No Slippage
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	5841.00	10370.00	53.98%	56.80%	56.33%	Did Not Meet Target	No Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? Yes

☐ Provide additional information about this indicator (optional)

## Indicator 3: Early Childhood Outcomes

### Required Actions from FFY 2014

*Monitoring Priority: Early Intervention Services In Natural Environments*

**Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:**

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response

## FFY15/SFY16 Illinois Annual Performance Report

### Indicator 3: Early Child Outcomes

**Compliance Indicator:** Percent of infant and toddlers with IFSPs who demonstrate improved:

A: Positive social-emotional skills (including social relationships),

B: Acquisition and use of knowledge and skills (including early language/communication, and

C: Use of appropriate behaviors to meet their needs.

SUMMARY STATEMENT SCORED BY CHILD AND FAMILY CONNECTIONS (CFC)						
	Outcome A		Outcome B		Outcome C	
CFC #	Summary Statement 1	Summary Statement 2	Summary Statement 1	Summary Statement 2	Summary Statement 1	Summary Statement 2
1	64.8%	66.1%	78.4%	61.1%	75.6%	66.4%
**2	58.2%	55.2%	70.9%	49.6%	71.0%	51.6%
3	76.5%	68.2%	78.9%	59.1%	69.8%	65.9%
**4	61.1%	63.8%	73.5%	57.6%	69.7%	63.8%
**5	51.8%	60.2%	67.5%	51.1%	58.3%	62.0%
*6	73.2%	71.6%	82.3%	53.6%	79.9%	61.8%
*7	78.1%	59.0%	88.3%	48.9%	84.9%	49.9%
*8	82.7%	58.2%	86.2%	49.9%	87.9%	53.2%
*9	79.6%	62.4%	90.9%	47.4%	86.8%	50.7%
*10	67.3%	35.6%	73.8%	29.5%	70.5%	26.6%
*11	80.9%	68.8%	90.6%	58.1%	86.0%	61.2%
*12	68.1%	49.3%	77.1%	34.8%	74.8%	36.6%
13	59.8%	70.7%	68.5%	53.8%	66.2%	62.5%
14	55.1%	75.0%	65.6%	65.6%	61.2%	71.5%
**15	68.5%	65.8%	78.9%	57.6%	77.7%	67.0%
16	79.1%	50.7%	82.1%	42.8%	82.4%	43.1%
17	76.6%	68.6%	84.7%	50.0%	83.1%	55.7%
18	61.0%	45.5%	74.8%	28.6%	78.2%	36.6%
19	78.9%	69.5%	81.5%	59.2%	86.3%	75.4%
20	63.3%	62.7%	74.7%	55.7%	76.8%	57.1%
21	67.2%	60.0%	76.0%	50.5%	73.0%	52.2%
22	90.7%	67.6%	94.2%	53.5%	87.3%	57.7%
23	71.7%	43.1%	85.9%	33.8%	86.4%	44.6%
24	61.0%	61.9%	78.7%	38.1%	71.9%	56.6%
**25	54.1%	60.9%	66.9%	42.3%	64.0%	53.8%
Statewide	69.8%	61.8%	79.3%	50.8%	77.1%	56.3%
*Chicago – Cook County	77.6%	56.3%	85.4%	46.2%	82.8%	47.9%
*Suburban – Cook County	73.1%	60.0%	82.6%	45.8%	79.9%	49.4%
**Collar Counties (2, 4, 5, 15, & 25)	58.7%	61.2%	71.5%	51.6%	68.1%	59.6%
Downstate (All Others)	69.7%	62.3%	78.8%	50.1%	76.8%	57.3%
<b>*Cook County Offices:</b> <ul style="list-style-type: none"><li>CFC 6 - North Suburban</li><li>CFC 7 - West Suburban</li><li>CFC 8 - Southwest Chicago</li><li>CFC 9 - Central Chicago</li><li>CFC 10 - Southeast Chicago</li><li>CFC 11 - North Chicago</li><li>CFC 12 - South Suburban</li></ul>						

## Indicator 4: Family Involvement

## Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

## Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2010	Target ≥			76.00%	76.00%	74.00%	73.50%	78.00%	78.50%	79.00%	69.20%	69.40%
		Data		76.80%	82.00%	75.00%	71.85%	78.58%	67.82%	67.60%	69.17%	65.69%	71.50%
B	2010	Target ≥			86.00%	86.00%	86.00%	85.00%	85.80%	86.20%	86.70%	77.40%	77.90%
		Data		86.50%	90.30%	87.10%	83.81%	85.63%	76.51%	75.70%	77.37%	74.15%	76.51%
C	2010	Target ≥			90.00%	90.00%	89.00%	89.00%	85.00%	87.00%	90.40%	74.00%	74.50%
		Data		90.20%	93.30%	90.80%	88.27%	83.28%	74.31%	73.20%	74.02%	73.34%	74.11%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

## FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target A ≥	69.70%	70.00%	70.30%	70.60%
Target B ≥	77.90%	78.20%	78.50%	78.80%
Target C ≥	74.50%	74.80%	75.10%	75.40%

Key:  Blue – Data Update

## Targets: Description of Stakeholder Input

Illinois has a long-standing Child & Family Outcomes workgroup who participated in helping to choose the targets along with the Illinois Interagency Council on Early Intervention. The Child & Family Outcomes workgroup also is our primary source of data collection of Family Outcomes information by sending the Family Outcomes survey out and processing the returns. The workgroup creates reports to present at various stakeholder meetings including CFC Manager meetings, IICEI meetings, SSIP stakeholder meetings to seek input and recommendations.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 4: Family Involvement  
FFY 2015 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2015 SPP/APR Data	
Number of respondent families participating in Part C	1736.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	1287.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	1736.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	1372.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	1736.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	1342.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	1736.00

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	71.50%	69.70%	74.14%	Met Target	No Slippage
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	76.51%	77.90%	79.03%	Met Target	No Slippage
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	74.11%	74.50%	77.30%	Met Target	No Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

In an effort to collect more responses, Illinois implemented a change in sending the Family Outcomes Survey beginning in FFY15/SFY16. Unfortunately, due to some technical issues, the plan did not get fully implemented until half way through the year. Historically, a survey was sent to every family who had been in the program on a specific date and time. Illinois did not feel that was the best measure because the family may have left almost a year prior to receiving the survey as well as families move which makes many survey's undeliverable. The new process now fully implemented sends surveys to every family exiting on a quarterly basis. Illinois still has issues with the high number of undeliverable as families still move often but the quality of responses appears to be better.

Illinois continues to work through various federal technical assistance partnerships to improve the practices of collecting Family Outcomes data. Creating a consistent message to families when entering and while participating in Early Intervention is a strategy about to be piloted in three areas in alignment with our SSIP work. Illinois believes more frequently-shared information about Child & Family Outcomes should increase the number of responders as well as measure the quality of services and information shared with families. Based on the decreased return rate with the implementation of the new delivery process, we feel improvement is warranted in the outreach to families to gain a more representative response.

We theorize that the implementation of the new method of survey delivery as well as the continued focus on child & family outcomes as part of our SSIP work and SiMR to improve our child outcomes has boosted the efforts of many CFCs and community partners. Our next focus will be on the return rate of surveys to feel more confident in the representativeness of our data.

Was sampling used? ☐ No

Has your previously-approved sampling plan changed? ☐ No

Plan submitted for approval: [No Sampling Plan Submitted](#)No Sampling Plan Submitted

Was a collection tool used? ☒ Yes

Is it a new or revised collection tool? ☐ No

☒ Yes, the data accurately represent the demographics of the State

☐ No, the data does not accurately represent the demographics of the State

Describe the sampling methodology outlining how the design will yield valid and reliable estimates.

☐ Provide additional information about this indicator (optional)

## Indicator 4: Family Involvement

### Required Actions from FFY 2014

*Monitoring Priority: Early Intervention Services In Natural Environments*

**Results indicator:** Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response

## FFY15/SFY16 Illinois Annual Performance Report

### Indicator 4: Family Involvement

**Results Indicator:** Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights,
- B. Effectively communicate their children's needs, and
- C. Help their children develop and learn.

FAMILY OUTCOMES SURVEY RESULTS - RETURN RATES & UNWEIGHTED RESULTS BY CFC									
				Know Rights		Communicate Child's Needs		Help Child Develop & Learn	
CFC #	Surveys	Returns	Return Rate	Scores 4 or >	Mean Score	Scores 4 or >	Mean Score	Scores 4 or >	Mean Score
1	758	57	7.52%	73.70%	4.21	77.20%	4.28	80.70%	4.22
**2	834	78	9.35%	78.20%	4.27	75.60%	4.26	76.90%	4.21
3	366	44	12.02%	61.40%	3.98	59.10%	4.04	56.80%	4.21
**4	1,014	91	8.97%	81.30%	4.41	83.50%	4.47	81.3%	4.37
**5	1,260	155	12.30%	85.80%	4.43	92.30%	4.57	86.50%	4.48
*6	2,097	233	11.11%	74.20%	4.23	78.10%	4.32	74.70%	4.22
*7	1,225	84	6.86%	67.90%	3.98	76.20%	4.17	77.40%	4.1
*8	844	53	6.28%	62.30%	4.02	73.60%	4.17	71.70%	4.09
*9	1,086	49	4.51%	67.30%	4.06	69.40%	4.2	77.60%	4.2
*10	856	22	2.58%	68.20%	3.97	77.30%	3.95	72.70%	4.02
*11	2,625	198	7.54%	59.60%	3.76	71.20%	4	71.20%	3.96
*12	1,186	81	6.82%	65.40%	3.93	64.20%	3.92	69.10%	3.93
13	284	25	8.80%	68.00%	3.66	68.00%	3.77	64.00%	3.61
14	691	44	6.37%	81.80%	4.37	88.60%	4.45	81.80%	4.27
**15	1,521	168	11.05%	79.20%	4.4	83.90%	4.45	81.50%	4.39
16	777	60	7.72%	75.00%	4.15	78.30%	4.3	76.70%	4.24
17	251	18	7.17%	83.30%	4.36	83.30%	4.6	83.30%	4.49
18	372	25	6.72%	88.00%	4.58	96.00%	4.65	80.00%	4.37
19	494	36	7.29%	91.70%	4.52	83.30%	4.57	86.10%	4.48
20	456	23	5.04%	73.90%	4.29	91.30%	4.59	82.60%	4.54
21	706	73	10.34%	71.20%	4.19	80.80%	4.37	80.80%	4.36
22	403	32	7.94%	93.80%	4.47	84.40%	4.47	87.50%	4.46
23	173	11	6.36%	100%	4.8	100%	4.91	81.80%	4.77
24	203	16	7.88%	81.30%	4.54	87.50%	4.54	81.30%	4.63
**25	499	60	12.02%	73.30%	4.33	83.30%	4.32	76.70%	4.23
STATEWIDE	20,981	1,736	8.27%	74.14%	4.19	79.03%	4.3	77.30%	4.23
*Chicago-Cook County	5,411	322	5.95%	61.80%	3.68	71.70%	4.06	72.40%	4.03
*Suburban-Cook County	4,508	398	8.82%	71.10%	4.11	74.90%	4.21	74.10%	4.14
**Collar Counties (2, 4, 5, 15, & 25)	5,128	552	10.76%	80.60%	4.38	85.00%	4.45	81.70%	4.37
Downstate (All Others)	5,934	464	7.81%	77.60%	4.26	80.60%	4.37	78.20%	4.29
*Cook County Offices:									
• CFC 6 - North Suburban					• CFC 10 - Southeast Chicago				
• CFC 7 - West Suburban					• CFC 11 - North Chicago				
• CFC 8 - Southwest Chicago					• CFC 12 - South Suburban				
• CFC 9 - Central Chicago									

**Indicator 5: Child Find (Birth to One)****Historical Data and Targets***Monitoring Priority: Effective General Supervision Part C / Child Find***Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.****(20 U.S.C. 1416(a)(3)(B) and 1442)****Historical Data***Baseline Data: 2005*

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			1.33%	1.36%	1.32%	1.33%	1.08%	1.08%	1.10%	1.25%	1.26%
Data		1.07%	1.17%	1.20%	1.13%	1.08%	1.09%	1.32%	1.26%	1.50%	1.54%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target ≥	1.27%	1.28%	1.29%	1.30%

Key:  Blue – Data Update**Targets: Description of Stakeholder Input**

Stakeholder input was provided and approval received from the Illinois Interagency Council on Early Intervention, the state Interagency Coordinating Council.



FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 5: Child Find (Birth to One)  
FFY 2015 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	<a href="#">Number of infants and toddlers birth to 1 with IFSPs</a>	1,931	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2015	6/30/2016	<a href="#">Population of infants and toddlers birth to 1</a>	155,304	null

FFY 2015 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
1,931	155,304	1.54%	1.27%	1.24%	Did Not Meet Target	Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Explanation of Slippage

Similar to Indicator 1, Illinois has also been losing population at a rather quick pace with theoretical assumptions that the lack of a balanced budget causing some to move to bordering states with less expensive living costs. Combined with a climate of uncertainty of payments for social services, many families are choosing private-sector therapies for their children to avoid the uncertainty of providers changing due to any kind of changes to service delivery of Early Intervention.

Additionally to note, our Child Count data is one date in time compared to an average over a month or year. Illinois EI monthly statistical reports to the individual CFCs include participation rate of children under one based on monthly average caseload of active IFSPs. For the period of October 1 2015 through October 31 2015, statewide participation rate for children under 1 with active IFSPs was 1.17% and the average for the state fiscal year period of July 2015 through October 2015 was 1.21%. We theorize that October may not be fully representative of our performance for serving children under 1. The participation rate for the entire FFY15 data was 1.37% which is above the target for FFY15 of 1.27%

The attached chart shows statewide participation percentages by individual CFC based on Illinois Public Health births reported in FFY15 as well as average active IFSPs for FFY15 for participants under one. While the overall participation rate for infants under one is declining, there are areas of continued growth despite the pattern of citizens of Illinois leaving the state. The regional breakdown showed a significant difference in decreased participation of Cook county and downstate compared to the collar counties of Cook of infants under one. This supports another theory in Illinois that fewer birth/residence instances occur in Cook and rural areas of Illinois compared to collar/suburban areas where access to family supports are prevalent but costs remain more reasonable.

 Provide additional information about this indicator (optional)

## Indicator 5: Child Find (Birth to One)

### Required Actions from FFY 2014

*Monitoring Priority: Effective General Supervision Part C / Child Find*

**Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.**

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response

## FFY15/SFY16 Annual Performance Report

**Indicator 5:** Child Find (Birth to One)

**Results Indicator:** Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

PARTICIPATION RATE UNDER 1 HISTORY BY CFC & REGION				
CFC #	FFY12/SFY13	FFY13/SFY14	FFY14/SFY15	FFY15/SFY16
1	1.71%	1.71%	2.41%	1.70%
<b>**2</b>	<b>0.96%</b>	<b>1.06%</b>	<b>1.07%</b>	<b>0.91%</b>
3	1.49%	1.47%	1.61%	2.02%
<b>**4</b>	<b>1.08%</b>	<b>1.44%</b>	<b>1.11%</b>	<b>1.14%</b>
<b>**5</b>	<b>1.04%</b>	<b>1.14%</b>	<b>1.19%</b>	<b>1.09%</b>
<b>*6-12</b>	<b>1.38%</b>	<b>1.68%</b>	<b>1.76%</b>	<b>1.51%</b>
13	0.85%	0.98%	0.79%	0.91%
14	1.15%	1.30%	1.00%	1.37%
<b>**15</b>	<b>0.79%</b>	<b>1.06%</b>	<b>1.17%</b>	<b>1.10%</b>
16	1.40%	1.31%	1.38%	1.13%
17	1.02%	1.49%	1.49%	1.52%
18	0.76%	1.23%	0.99%	1.14%
19	1.63%	2.66%	2.15%	1.79%
20	1.95%	2.18%	2.39%	2.61%
21	1.17%	1.23%	1.18%	1.30%
22	1.03%	1.31%	1.74%	1.21%
23	3.04%	2.62%	3.36%	3.28%
24	1.34%	1.37%	1.01%	1.71%
<b>**25</b>	<b>1.14%</b>	<b>1.16%</b>	<b>1.77%</b>	<b>1.78%</b>
<b>Statewide</b>	<b>1.26%</b>	<b>1.50%</b>	<b>1.54%</b>	<b>1.40%</b>
<b>*Cook County</b>	<b>1.38%</b>	<b>1.68%</b>	<b>1.76%</b>	<b>1.51%</b>
<b>**Collar Counties (2, 4, 5, 15, &amp; 25)</b>	<b>0.97%</b>	<b>1.17%</b>	<b>1.19%</b>	<b>1.12%</b>
Downstate (All Others)	1.34%	1.51%	1.53%	1.34%
<b>*Cook County Offices:</b> <ul style="list-style-type: none"> <li>• CFC 6 - North Suburban</li> <li>• CFC 7 - West Suburban</li> <li>• CFC 8 - Southwest Chicago</li> <li>• CFC 9 - Central Chicago</li> <li>• CFC 10 - Southeast Chicago</li> <li>• CFC 11 - North Chicago</li> <li>• CFC 12 - South Suburban</li> </ul>				

**Indicator 6: Child Find (Birth to Three)****Historical Data and Targets***Monitoring Priority: Effective General Supervision Part C / Child Find***Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.****(20 U.S.C. 1416(a)(3)(B) and 1442)****Historical Data***Baseline Data: 2005*

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			3.24%	3.32%	3.38%	3.37%	3.37%	3.37%	3.37%	3.57%	3.65%
Data		3.00%	3.11%	3.31%	3.43%	3.38%	3.41%	3.70%	3.96%	4.23%	4.45%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target ≥	3.73%	3.81%	3.89%	3.97%

Key:  Blue – Data Update**Targets: Description of Stakeholder Input**

Stakeholder input was provided and approval received from the Illinois Interagency Council on Early Intervention, the state Interagency Coordinating Council.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 6: Child Find (Birth to Three)  
FFY 2015 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	<a href="#">Number of infants and toddlers birth to 3 with IFSPs</a>	15,292	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2015	6/30/2016	<a href="#">Population of infants and toddlers birth to 3</a>	466,889	

FFY 2015 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
15,292	466,889	4.45%	3.73%	3.28%	Did Not Meet Target	Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Explanation of Slippage

Similar to Indicators 1 and 3, the overall population of Illinois has been affected by the absence of a balance budget in place. Both by families choosing to leave Illinois as well as providers choosing to leave which causes the referral sources to be cautious when referring presuming a lack of service delivery will occur.

And, similarly to Indicator 5, our Child Count data is one date in time compared to an average over a month or year. Illinois EI monthly statistical reports to the individual CFCs include participation rate of children based on monthly average caseload of active IFSPs. For the period of October 1 2015 through October 31 2015, statewide participation rate for children under 3 with active IFSPs was only 1.17% and the average for the state fiscal year beginning July 2015 through October 2015 was 1.21%. We theorize that October may not have been fully representative of our performance for serving children under 3. The participation rate for the entire FFY15 data was 4.05% which is above the target for FFY15 of 3.73%.

The attached chart shows statewide participation percentages by individual CFC based on Illinois Public Health births reported from FFY13 through FFY15 as well as average active IFSPs for FFY15. While the overall participation rate is declining, there are areas of continued growth despite the pattern of citizens of Illinois leaving the state. The regional breakdown again shows a significant difference in decreased participation of Cook county and compared to the collar counties of Cook and downstate. This supports another theory in Illinois that fewer participants reside in Cook compared to collar/suburban and rural areas where access to family supports are prevalent but costs remain more reasonable.

 Provide additional information about this indicator (optional)

## Indicator 6: Child Find (Birth to Three)

### Required Actions from FFY 2014

*Monitoring Priority: Effective General Supervision Part C / Child Find*

**Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.**

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response

## FFY15/SFY16 Annual Performance Report

**Indicator 6:** Child Find (Birth to Three)

**Results Indicator:** Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

PARTICIPATION RATE BIRTH TO THREE HISTORY BY CFC & REGION				
CFC #	FFY12/SFY13	FFY13/SFY14	FFY14/SFY15	FFY15/SFY16
1	4.09%	4.38%	4.62%	4.70%
<b>**2</b>	<b>3.29%</b>	<b>3.22%</b>	<b>3.49%</b>	<b>3.25%</b>
3	3.51%	3.74%	3.85%	5.38%
<b>**4</b>	<b>3.37%</b>	<b>3.79%</b>	<b>3.83%</b>	<b>4.24%</b>
<b>**5</b>	<b>3.75%</b>	<b>3.61%</b>	<b>4.04%</b>	<b>4.44%</b>
<b>*6-12</b>	<b>4.39%</b>	<b>4.79%</b>	<b>4.99%</b>	<b>3.85%</b>
13	2.32%	2.86%	2.75%	3.17%
14	3.36%	3.94%	3.65%	4.82%
<b>**15</b>	<b>3.60%</b>	<b>4.04%</b>	<b>4.42%</b>	<b>4.18%</b>
16	3.26%	3.47%	3.67%	3.34%
17	3.77%	3.77%	3.34%	4.12%
18	3.69%	3.55%	4.16%	4.51%
19	4.05%	4.63%	5.21%	4.94%
20	4.80%	4.77%	5.14%	5.91%
21	3.12%	3.08%	3.25%	3.24%
22	4.48%	4.44%	4.73%	4.21%
23	6.81%	5.38%	6.30%	6.50%
24	3.80%	3.91%	4.09%	5.22%
<b>**25</b>	<b>4.54%</b>	<b>4.72%</b>	<b>5.10%</b>	<b>5.56%</b>
<b>Statewide</b>	<b>3.96%</b>	<b>4.23%</b>	<b>4.45%</b>	<b>4.05%</b>
<b>*Cook County</b>	<b>4.39%</b>	<b>4.79%</b>	<b>4.99%</b>	<b>3.85%</b>
<b>**Collar Counties (2, 4, 5, 15, &amp; 25)</b>	<b>3.60%</b>	<b>3.77%</b>	<b>4.07%</b>	<b>4.19%</b>
Downstate (All Others)	3.65%	3.91%	4.08%	4.32%
<b>*Cook County Offices:</b> <ul style="list-style-type: none"> <li>• CFC 6 - North Suburban</li> <li>• CFC 7 - West Suburban</li> <li>• CFC 8 - Southwest Chicago</li> <li>• CFC 9 - Central Chicago</li> <li>• CFC 10 - Southeast Chicago</li> <li>• CFC 11 - North Chicago</li> <li>• CFC 12 - South Suburban</li> </ul>				

**Indicator 7: 45-day timeline****Historical Data and Targets**

*Monitoring Priority: Effective General Supervision Part C / Child Find*

**Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.**

**(20 U.S.C. 1416(a)(3)(B) and 1442)**

**Historical Data**

*Baseline Data: 2005*

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		98.67%	99.03%	99.43%	99.49%	99.46%	99.77%	99.80%	99.92%	99.87%	99.82%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%



FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 7: 45-day timeline  
FFY 2015 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2015 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
19,020	19,072	99.82%	100%	99.83%	Did Not Meet Target	No Slippage
* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.						
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.</i>					20	

What is the source of the data provided for this indicator?

- ☒ State monitoring
- ☒ State database

Describe the method used to select EIS programs for monitoring.

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Illinois continues to use the Cornerstone Data System to measure the time from referral to initial IFSP development or ineligibility determination for every child referred. Illinois uses a full State Fiscal Year of July 1, 2015 through June 30, 2016.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

The attached chart shows the total Initial IFSPs created and the number of infants and toddlers for whom the State has attributed to "exceptional family circumstances" or the family did not provide consent for the initial evaluation/assessment. The delay reasons are documented in the Cornerstone Data System. All three geographic groupings of the State (i.e. Cook County, Collar counties and Downstate) support the improvement to 99.83% compliance for FFY15/SFY16 which is very slightly above FFY14 data.

The lowest compliance area has been working diligently to inform parents of the process of Early Intervention to gain the family involvement of the practice as well as provider involvement in ensuring timely evaluations and/or assessments are performed. Outreach across all CFCs to help develop better marketing of the Early Intervention system to all community partners also should help improve the timeliness of developing an IFSP for any eligible child. Lastly, plans are being developed to have a Data Management process that supports all local CFCs in their data by creating more interactive processes and trainings on data collection and analysis at the local level.

This also will include enhancing the data visualization on public awareness materials and websites to help public access and family involvement in understanding how Early Intervention uses data to help drive policies and procedures to better support families with their child's development.

☐ Provide additional information about this indicator (optional)

## Indicator 7: 45-day timeline

### Required Actions from FFY 2014

*Monitoring Priority: Effective General Supervision Part C / Child Find*

**Compliance indicator:** Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response, **not including correction of findings**

**Indicator 7: 45-day timeline****Correction of Previous Findings of Noncompliance***Monitoring Priority: Effective General Supervision Part C / Child Find*

**Compliance indicator:** Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Correction of Findings of Noncompliance Identified in FFY 2014**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

**Correction of Findings of Noncompliance Identified Prior to FFY 2014**

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2014 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

## FFY15/SFY16 Annual Performance Report

### Indicator 7: 45-Day Timeline

**Compliance Indicator:** Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

IFSPS INITIATED WITHIN 45 DAYS				
CFC #	Total Initial IFSP	Exceptional Family Circumstances	Timely IFSP	Percent on time
1	593	4	589	100.00%
2**	651	0	651	100.00%
3	358	2	352	98.88%
4**	931	0	931	100.00%
5**	1,138	1	1,137	100.00%
6*	1,886	0	1,885	99.95%
7*	1,141	1	1,138	99.82%
8*	905	0	905	100.00%
9*	1,043	0	1,042	99.90%
10*	770	0	770	100.00%
11*	2,336	1	2,325	99.57%
12*	1,159	0	1,159	100.00%
13	286	4	277	98.25%
14	655	1	653	99.85%
15**	1,347	1	1,346	100.00%
16	662	1	657	99.40%
17	226	0	226	100.00%
18	340	1	337	99.41%
19	433	0	433	100.00%
20	412	2	409	99.76%
21	656	0	656	100.00%
22	365	0	365	100.00%
23	172	0	171	99.42%
24	171	0	171	100.00%
25**	436	1	435	100.00%
<b>Statewide</b>	<b>19,072</b>	<b>20</b>	<b>19,020</b>	<b>99.83%</b>
*Cook County	9,240	2	9,224	99.85%
**Collar Counties (2, 4, 5, 15, & 25)	4,503	3	4,500	100.00%
Downstate (All Others)	5,329	15	5,296	99.66%
<b>*Cook County Offices:</b> <ul style="list-style-type: none"> <li>• CFC 6 - North Suburban</li> <li>• CFC 7 - West Suburban</li> <li>• CFC 8 - Southwest Chicago</li> <li>• CFC 9 - Central Chicago</li> <li>• CFC 10 - Southeast Chicago</li> <li>• CFC 11 - North Chicago</li> <li>• CFC 12 - South Suburban</li> </ul>				

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 8: Early Childhood Transition  
FFY 2015 Data: All Indicator 8 Sections

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2015 SPP/APR Data

Number of toddlers with disabilities exiting Part C	20,522
Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	13,691

☒ Provide additional information about this indicator (optional)

The Illinois Early Intervention Monitoring program, a contract entity for the Bureau, has historically completed the review of children exiting in the month of November to obtain our data set for compliance in ensuring children leaving EI transition correctly. The EI Monitoring program reviewed a total of 1,131 cases that had termed in November 2015 with the results recorded on the attached chart showing each CFC office's number of files reviewed and number compliant. Each CFC who had any non-compliance received additional technical assistance from the EI Monitoring program to support the transition practices deemed appropriate.

FFY15 review had slightly more files than FFY14 but also had a fairly successful increase in compliance. FFY14 showed a 92.54% compliance rate and FFY15 showed a 97.97% compliance rate. We also enhanced the chart to indicate the regional breakdown to show geographically clustered CFCs to analyze for potential trends or strategies. Reporting between all three geographic regions was less than 3% difference. We will continue to support the CFCs in providing appropriate technical assistance, training and resources with steps that should continue to improve the compliance of transition.

## FFY15/SFY16 Annual Performance Report

### Indicator 8A: Early Childhood Transition

**Compliance Indicator:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

IFSP WITH TIMELY TRANSITION STEPS/SERVICES			
CFC #	Toddlers Exiting in November 2015	Files with Transition Steps and Services	Percent with Transition Steps and Services
1	46	46	100.00%
2**	34	34	100.00%
3	11	11	100.00%
4**	78	78	100.00%
5**	82	82	100.00%
6*	122	120	98.36%
7*	63	63	100.00%
8*	57	56	98.25%
9*	46	43	93.48%
10*	57	54	94.74%
11*	133	130	97.74%
12*	57	52	91.23%
13	7	7	100.00%
14	30	30	100.00%
15**	83	82	98.80%
16	22	22	100.00%
17	21	21	100.00%
18	33	32	96.97%
19	29	29	100.00%
20	22	22	100.00%
21	31	31	100.00%
22	28	26	92.86%
23	5	3	60.00%
24	5	5	100.00%
25**	29	29	100.00%
<b>Statewide</b>	<b>1,131</b>	<b>1,108</b>	<b>97.97%</b>
<i>*Cook County</i>	<i>535</i>	<i>518</i>	<i>96.82%</i>
<i>**Collar Counties (2, 4, 5, 15, &amp; 25)</i>	<i>306</i>	<i>305</i>	<i>99.67%</i>
Downstate (All Others)	290	285	98.28%
<b>*Cook County Offices:</b> <ul style="list-style-type: none"> <li>• CFC 6 - North Suburban</li> <li>• CFC 7 - West Suburban</li> <li>• CFC 8 - Southwest Chicago</li> <li>• CFC 9 - Central Chicago</li> </ul> <ul style="list-style-type: none"> <li>• CFC 10 - Southeast Chicago</li> <li>• CFC 11 - North Chicago</li> <li>• CFC 12 - South Suburban</li> </ul>			

**Indicator 8B: Early Childhood Transition****Historical Data and Targets**

*Monitoring Priority: Effective General Supervision Part C / Effective Transition*

**Compliance indicator:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

*Baseline Data: 2005*

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		78.50%	93.40%	100%	100%	100%	100%	100%	95.70%	100%	100%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 8B: Early Childhood Transition  
FFY 2015 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2015 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/3/2017	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	13,691	null

Data include notification to both the SEA and LEA

- ☒ Yes
- ☐ No

Please explain

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
13,691	13,691	100%	100%	100%	Met Target	No Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

Number of parents who opted out <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	0
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Describe the method used to collect these data

Illinois utilizes a data sharing agreement with the Illinois State Board of Education (ISBE), the State Education Agency (SEA), to assure that every child who reached 27 months of age or who started EI services after the age of 27 months were made known to the local education agency (LEA). The Bureau of EI has confirmed that notifications were sent to the SEA and LEA at least 90 days prior to the toddler's third birthday for all toddlers who reached 27 months of age. The calculation excludes children who were referred to the program less than 90 days prior to their third birthday.

In FFY15, Illinois worked with ISBE to help improve the system of informing LEAs through a secure electronic system. There are also plans to automate additional elements of identifying the LEA for each child to better and more quickly pass the information.

In FFY15/SFY16, Illinois demonstrated 100 percent compliance. With full implementation of the data sharing agreement between the EI Bureau and ISBE and subsequent data sharing reports, the program has been able to maintain compliance. The next step is to finalize the updated data sharing agreement prior to the next reporting period with anticipated completion date of no later than the end of FFY16/SFY2017.

Do you have a written opt-out policy? No  
Is the policy on file with the Department? No

Policy:  
[No Policy Submitted](#) No Policy Submitted

What is the source of the data provided for this indicator?

- ☒ State monitoring
- ☐ State database

Describe the method used to select EIS programs for monitoring.

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).



**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

☐ Provide additional information about this indicator (optional)

## Indicator 8B: Early Childhood Transition

### Required Actions from FFY 2014

*Monitoring Priority: Effective General Supervision Part C / Effective Transition*

**Compliance indicator:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response, **not including correction of findings**

**Indicator 8B: Early Childhood Transition****Correction of Previous Findings of Noncompliance**

*Monitoring Priority: Effective General Supervision Part C / Effective Transition*

**Compliance indicator:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Correction of Findings of Noncompliance Identified in FFY 2014**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

**Correction of Findings of Noncompliance Identified Prior to FFY 2014**

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2014 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

## FFY15/SFY16 Annual Performance Report

### Indicator 8C: Early Childhood Transition

**Compliance indicator:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday,
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services, and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

TIMELY TRANSITION					
CFC #	Potentially Eligible for Part B	Family did not provide consent to Transition	Exceptional Family Circumstances	Timely Transition Conferences (conducted at least 90 days before 3 <sup>rd</sup> birthday)	% of Timely Transition Conferences
1	478	27	15	403	92.68%
2**	551	24	33	448	91.27%
3	217	17	44	90	67.00%
4**	667	51	52	536	95.45%
5**	909	28	71	721	89.90%
6*	1,332	111	130	1,029	94.92%
7*	827	74	100	535	84.33%
8*	587	28	65	330	70.66%
9*	682	79	100	411	84.74%
10*	566	84	7	458	96.47%
11*	1,644	264	114	527	46.45%
12*	803	46	107	484	78.07%
13	206	58	13	108	81.76%
14	449	22	19	354	87.35%
15**	1,067	38	45	832	85.23%
16	510	6	2	494	98.41%
17	147	11	0	133	97.79%
18	263	8	4	241	96.08%
19	308	21	5	278	98.61%
20	268	8	4	246	96.15%
21	413	4	1	321	78.73%
22	260	1	2	245	95.37%
23	97	2	2	55	60.00%
24	107	2	5	92	92.38%
25**	333	8	12	281	90.15%
<b>Statewide</b>	<b>13,691</b>	<b>1,022</b>	<b>952</b>	<b>9,652</b>	<b>83.70%</b>
*Cook County	6,441	686	623	3,774	76.40%
**Collar Counties (2, 4, 5, 15, & 25)	3,527	149	213	2,818	89.73%
Downstate (All Others)	3,723	187	116	3,060	89.82%
<b>*Cook County Offices:</b> <ul style="list-style-type: none"> <li>• CFC 6 - North Suburban</li> <li>• CFC 7 - West Suburban</li> <li>• CFC 8 - Southwest Chicago</li> <li>• CFC 9 - Central Chicago</li> </ul> <ul style="list-style-type: none"> <li>• CFC 10 - Southeast Chicago</li> <li>• CFC 11 - North Chicago</li> <li>• CFC 12 - South Suburban</li> </ul>					

## Indicator 9: Resolution Sessions

### Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Historical Data

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data									100%	100%	100% 0.00%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

#### Explanation of Changes

Illinois reported 100% with no Written settlement agreements reached through resolution meetings divided by no Resolution meetings for FFY14.

#### FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥				

Key:  Blue – Data Update

#### Targets: Description of Stakeholder Input

**Indicator 9: Resolution Sessions****FFY 2015 Data**

*Monitoring Priority: Effective General Supervision Part C / General Supervision*

**Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).**

**(20 U.S.C. 1416(a)(3)(B) and 1442)**

**Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/2/2016	<a href="#">3.1(a) Number resolution sessions resolved through settlement agreements</a>	0	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/2/2016	<a href="#">3.1 Number of resolution sessions</a>	0	null

**FFY 2015 SPP/APR Data**

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data	Status	Slippage
0	0	100%			Incomplete Data	n/a

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

 **Provide additional information about this indicator (optional)**

## Indicator 9: Resolution Sessions

### Required Actions from FFY 2014

*Monitoring Priority: Effective General Supervision Part C / General Supervision*

**Results indicator:** Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response

**Indicator 10: Mediation****Historical Data and Targets***Monitoring Priority: Effective General Supervision Part C / General Supervision***Results indicator: Percent of mediations held that resulted in mediation agreements.****(20 U.S.C. 1416(a)(3)(B) and 1442)****Historical Data***Baseline Data: 2005*

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			91.00%	92.00%	93.00%	94.00%	95.00%	95.00%	95.00%		
Data			100%		100%			100%		100% 0.00%	100% 0.00%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update**Explanation of Changes**

**FFY 2015 - FFY 2018 Targets**

FFY	2015	2016	2017	2018
Target ≥				

Key:  Blue – Data Update**Targets: Description of Stakeholder Input**



**Indicator 10: Mediation****FFY 2015 Data**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	<a href="#">2.1.a.i Mediations agreements related to due process complaints</a>	0	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	<a href="#">2.1.b.i Mediations agreements not related to due process complaints</a>	2	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	<a href="#">2.1 Mediations held</a>	2	null

**FFY 2015 SPP/APR Data**

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
0	2	2	100%		100%

Status	Slippage
Incomplete Data	No Slippage

\* FFY 2014 Data and FFY 2015 Target are editable on the Historical Data and Targets page.

 **Provide additional information about this indicator (optional)**

## Indicator 10: Mediation

### Required Actions from FFY 2014

*Monitoring Priority: Effective General Supervision Part C / General Supervision*

**Results indicator: Percent of mediations held that resulted in mediation agreements.**

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2014 response

none

#### Responses to actions required in FFY 2014 response